

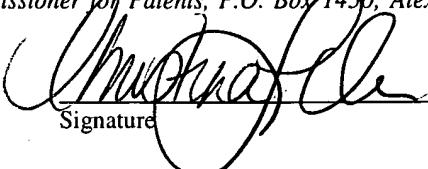


#111/Supp. Info Dis  
8-14-03

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 31, 2003.



Signature

Applicant : Craig L. Ogg, et al.  
Application No. : 09/690,083  
Filed : October 16, 2000  
Title : CRYPTOGRAPHIC MODULE FOR SECURE PROCESSING OF VALUE-BEARING ITEMS  
  
Grp./Div. : 3621  
Examiner : Firmin Backer  
  
Docket No. : 40630/SAH/S850

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT WITH FEE  
UNDER 37 CFR §§ 1.97(c) AND 1.17(p)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Post Office Box 7068  
Pasadena, CA 91109-7068  
July 31, 2003

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed.

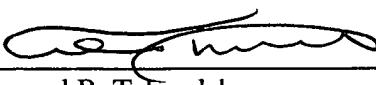
It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Enclosed is the processing fee of \$180 as required by 37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any fees which may be required by this paper to Deposit Account No.

Application No. 09/690,083

03-1728. Please show our docket number with any Deposit Account transaction. **A copy of this paper is enclosed.**

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By   
Raymond R. Tabandeh  
Reg. No. 43,945  
626/795-9900

RRT/clv

Enclosures: Check \$180  
Copy of IDS  
Form PTO/SB/08A/B, w/references  
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|---|--|----------------------|
| FORM PTO/SB/08A/B (10-01)<br>Substitute for PTO-1449A/B | AUG 04 2003<br>PATENT & TRADEMARK OFFICE<br>INFORMATION DISCLOSURE STATEMENT BY APPLICANT<br>(use as many sheets as necessary) |                      |
|   | Attorney Docket Number   | 40630/SAH/S850       |
|   | Application Number   | 09/690,083           |
|   | Filing Date  | October 16, 2000     |
|   | Applicant(s)   | Craig L. Ogg, et al. |
|   | Group Art Unit   | 3621                 |
|   | Examiner Name  | Firmin Backer        |

| U.S. PATENT DOCUMENTS |                       |   |                                |                  |
|-----------------------|-----------------------|---|--------------------------------|------------------|
| EXAMINER INITIALS     | Cite No. <sup>1</sup> | DOCUMENT NUMBER<br>Number - Kind Code <sup>2</sup> (If Known) | PUBLICATION DATE<br>MM-DD-YYYY | NAME OF PATENTEE |
|                       |                       | US 2001/0034716 A1  | 10-25-2001                     | GOODWIN          |
|                       |                       | US 2002/0023057 A1  | 02-21-2002                     | GOODWIN, et al.  |

| FOREIGN PATENT DOCUMENTS |                       |   |                                |  |   |
|--------------------------|-----------------------|---|--------------------------------|--|---|
| EXAMINER INITIALS        | Cite No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup><br>(If Known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | T <sup>6</sup><br>( <input checked="" type="checkbox"/> ) |
|                          |                       |   |                                |  |   |

| OTHER DOCUMENTS   |  |
|-------------------|--|
| EXAMINER INITIALS | Cite No. <sup>1</sup>  |
|                   | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
|                   | U.S. Patent Serial No. 09/585,025 filed June 1, 2000, "Online Value Bearing Item Printing", 125pp.   |
|                   | U.S. Patent Serial No. 09/688,451 filed October 16, 2000, " Auditing Method and System for an On-Line Value-Bearing Item Printing System, 105pp.   |
|                   | U.S. Patent Serial No. 09/688,452 filed October 16, 2000, "Role Assignments in a Cryptographic Module for Secure Processing of Value-Bearing Items", 105pp.  |
|                   | U.S. Patent Serial No. 09/688,456 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value- Bearing Items", 109pp.   |
|                   | U.S. Patent Serial No. 09/690,066 filed October 16, 2000, "Cryptographic Module for Secure Processing of Value-Bearing Items", 121pp.  |
|                   | U.S. Patent Serial No. 09/690,243 filed October 17, 2000, "Method and Apparatus for On-Line Value-Bearing Item System", 66pp.  |
|                   | U.S. Patent Serial No. 09/690,796 filed October 17, 2000, "Secure and Recoverable Database for On-Line Value-Bearing Item System", 71pp.   |
|                   | U.S. Patent Serial No. 09/692,746 filed October 18, 2000, "Method and Apparatus for Digitally Signing an Advertisement Area Next to a Value-Bearing Item", 61pp.   |
|                   | U.S. Patent Serial No. 09/692,829 filed October 18, 2000, "Postal System Intranet and Commerce Processing for On-Line Value-Bearing System", 179pp.  |

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|--|--|--------------------|
| EXAMINER SIGNATURE   |  | DATE<br>CONSIDERED |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="http://www.pto.gov">www.pto.gov</a> or MPEP 901.4. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached. |  |                    |

FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/B

**INFORMATION DISCLOSURE**

**STATEMENT BY APPLICANT**

(use as many sheets as necessary)

|                        |                      |
|------------------------|----------------------|
| Attorney Docket Number | 40630/SAH/S850       |
| Application Number     | 09/690,083           |
| Filing Date            | October 16, 2000     |
| Applicant(s)           | Craig L. Ogg, et al. |
| Group Art Unit         | 3621                 |
| Examiner Name          | Firmin Backer        |

**OTHER DOCUMENTS**

| EXAMINER INITIALS | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
|-------------------|-----------------------|--|
|                   | +                     | U.S. Patent Serial No. 09/788,069 filed February 16, 2001, "On-Line Value-Bearing Indicium Printing Using DSA", 43pp.  |
|                   | +                     | U.S. Patent Serial No. 10/083,236 filed February 26, 2002, "Secured Centralized Public Key Infrastructure", 101pp.   |

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| EXAMINER SIGNATURE   |  | DATE<br>CONSIDERED |  |
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| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="http://www.pto.gov">www.pto.gov</a> or MPEP 901.4. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached. |  |                    |  |

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